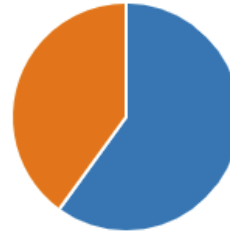


Audit & Risk Committee Self-Assessment Survey: Year Ended 30 June 2024

1. **Audit and Risk Committee Charter:** The Charter clearly articulates the Committee's roles and responsibilities and provides it with the necessary authority to discharge them

[More Details](#)

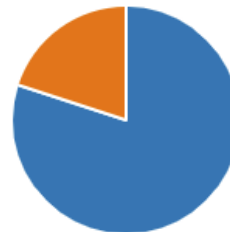
| | |
|------------------------|---|
| ● Satisfied | 3 |
| ● Room for improvement | 2 |
| ● Not satisfied | 0 |



2. **Audit and Risk Committee Charter:** The Charter facilitates and supports the effective operation of the Committee

[More Details](#)

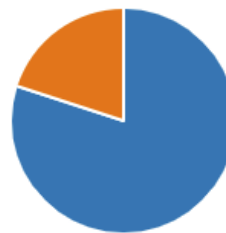
| | |
|------------------------|---|
| ● Satisfied | 4 |
| ● Room for improvement | 1 |
| ● Not satisfied | 0 |



3. **Audit and Risk Committee Charter:** During the past year, the Committee has adequately addressed all of its responsibilities as detailed in the Charter

[More Details](#)

| | |
|------------------------|---|
| ● Satisfied | 4 |
| ● Room for improvement | 1 |
| ● Not satisfied | 0 |



4. **Audit and Risk Committee Charter:** The Charter ensures the Committee is sufficiently independent from the management of Council

[More Details](#)

| | |
|------------------------|---|
| ● Satisfied | 4 |
| ● Room for improvement | 1 |
| ● Not satisfied | 0 |



5. Any comments, suggestions, improvements on the Audit and Risk Committee Charter?

4 Responses

6. **Skills and Experience:** The Committee has the desired mix of skills to allow it to effectively discharge its responsibilities

[More Details](#)

| | |
|------------------------|---|
| ● Satisfied | 5 |
| ● Room for improvement | 0 |
| ● Not satisfied | 0 |



7. **Skills and Experience:** The Committee has been able to analyse and critically evaluate information presented to it by management

[More Details](#)

| | |
|------------------------|---|
| ● Satisfied | 4 |
| ● Room for improvement | 1 |
| ● Not satisfied | 0 |



8. **Skills and Experience:** There is a clear process that Committee members can follow to access advice and /or training to improve their skills and knowledge

[More Details](#)

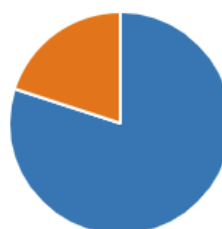
| | |
|------------------------|---|
| ● Satisfied | 2 |
| ● Room for improvement | 3 |
| ● Not satisfied | 0 |



9. **Skills and Experience:** The Committee has responded appropriately where significant risks and/or control breakdowns have been brought to its attention

[More Details](#)

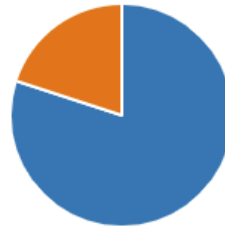
| | |
|------------------------|---|
| ● Satisfied | 4 |
| ● Room for improvement | 1 |
| ● Not satisfied | 0 |



10. **Skills and Experience:** The Committee is willing to listen to new ideas and consider different views in its deliberations

[More Details](#)

| | |
|------------------------|---|
| ● Satisfied | 4 |
| ● Room for improvement | 1 |
| ● Not satisfied | 0 |



11. **Skills and Experience:** The Committee has been sufficiently probing and challenging in its deliberations

[More Details](#)

| | |
|------------------------|---|
| ● Satisfied | 3 |
| ● Room for improvement | 2 |
| ● Not satisfied | 0 |



12. Any comments, suggestions, improvements on Skills and Experience?

4 Responses

13. **Understanding the business:** The Committee has an adequate understanding of Council's risk management framework and risk profile

[More Details](#)

| | |
|------------------------|---|
| ● Satisfied | 5 |
| ● Room for improvement | 0 |
| ● Not satisfied | 0 |



14. **Understanding the business:** The Committee has an adequate understanding of Council's internal control framework to mitigate significant risks

[More Details](#)

| | |
|------------------------|---|
| ● Satisfied | 3 |
| ● Room for improvement | 2 |
| ● Not satisfied | 0 |



15. **Understanding the business:** The Committee has an adequate understanding of Council's financial and statutory reporting requirements

[More Details](#)

| | |
|------------------------|---|
| ● Satisfied | 5 |
| ● Room for improvement | 0 |
| ● Not satisfied | 0 |



16. **Understanding the business:** The Committee has an adequate understanding of Council's legislative compliance requirements

[More Details](#)

| | |
|------------------------|---|
| ● Satisfied | 4 |
| ● Room for improvement | 1 |
| ● Not satisfied | 0 |



17. **Understanding the business:** The Committee receives appropriate briefings on current and emerging business risks

[More Details](#)

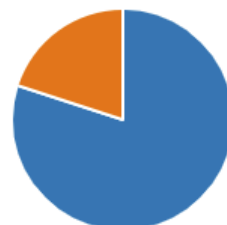
| | |
|------------------------|---|
| ● Satisfied | 3 |
| ● Room for improvement | 2 |
| ● Not satisfied | 0 |



18. **Understanding the business:** The Committee receives appropriate briefings on changes in financial reporting requirements

[More Details](#)

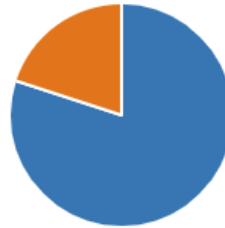
| | |
|------------------------|---|
| ● Satisfied | 4 |
| ● Room for improvement | 1 |
| ● Not satisfied | 0 |



19. **Understanding the business:** The Committee receives appropriate briefings on changes in performance reporting requirements

[More Details](#)

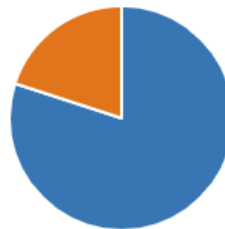
| | |
|------------------------|---|
| ● Satisfied | 4 |
| ● Room for improvement | 1 |
| ● Not satisfied | 0 |



20. **Understanding the business:** The Committee receives appropriate briefings on Integrity Body reports

[More Details](#)

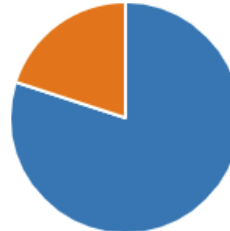
| | |
|------------------------|---|
| ● Satisfied | 4 |
| ● Room for improvement | 1 |
| ● Not satisfied | 0 |



21. **Understanding the business:** The Committee receives appropriate briefings on changes in the business/regulatory environment

[More Details](#)

| | |
|------------------------|---|
| ● Satisfied | 4 |
| ● Room for improvement | 1 |
| ● Not satisfied | 0 |



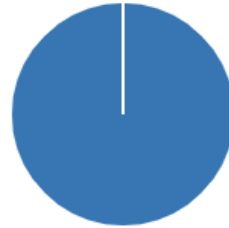
22. Any comments, suggestions, improvements on Understanding the Business?

4 Responses

23. **Meeting administration and conduct:** The Committee has had an appropriate number of meetings to properly discharge its responsibilities

[More Details](#)

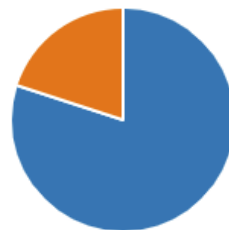
| | |
|------------------------|---|
| ● Satisfied | 5 |
| ● Room for improvement | 0 |
| ● Not satisfied | 0 |



24. **Meeting administration and conduct:** Agendas are structured to allow sufficient time to discuss all critical issues

[More Details](#)

| | |
|------------------------|---|
| ● Satisfied | 4 |
| ● Room for improvement | 1 |
| ● Not satisfied | 0 |



25. **Meeting administration and conduct:** The Committee receives agendas and supporting papers in sufficient time prior to meetings

[More Details](#)

| | |
|------------------------|---|
| ● Satisfied | 4 |
| ● Room for improvement | 1 |
| ● Not satisfied | 0 |



26. **Meeting administration and conduct:** Agendas and supporting papers are of sufficient clarity and quality to enable the Committee to make informed decisions

[More Details](#)

| | |
|------------------------|---|
| ● Satisfied | 4 |
| ● Room for improvement | 1 |
| ● Not satisfied | 0 |



27. **Meeting administration and conduct:** Committee meetings are well run and productive

[More Details](#)

| | |
|------------------------|---|
| ● Satisfied | 5 |
| ● Room for improvement | 0 |
| ● Not satisfied | 0 |



28. **Meeting administration and conduct:** Committee minutes are appropriately maintained and provided to Council on a timely basis

[More Details](#)

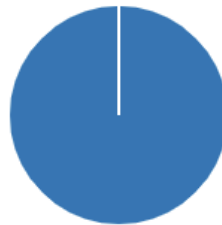
| | |
|------------------------|---|
| ● Satisfied | 2 |
| ● Room for improvement | 3 |
| ● Not satisfied | 0 |



29. **Meeting administration and conduct:** All Committee members can or are able to participate/contribute effectively to Committee deliberations

[More Details](#)

| | |
|------------------------|---|
| ● Satisfied | 5 |
| ● Room for improvement | 0 |
| ● Not satisfied | 0 |



30. **Meeting administration and conduct:** The Committee effectively manages Conflict of Interest matters

[More Details](#)

| | |
|------------------------|---|
| ● Satisfied | 4 |
| ● Room for improvement | 0 |
| ● Not satisfied | 0 |



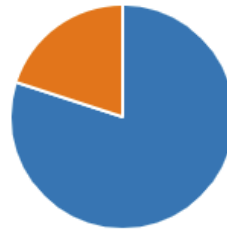
31. Any comments, suggestions, improvements on Meeting administration and conduct?

4 Responses

32. **Communications with Council:** Committee communications to Council about its deliberations and decisions are appropriate

[More Details](#)

| | |
|------------------------|---|
| ● Satisfied | 4 |
| ● Room for improvement | 1 |
| ● Not satisfied | 0 |



33. **Communications with Council:** Committee reports on its own activities to Council are appropriate in scope

[More Details](#)

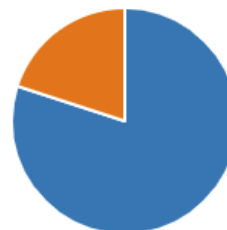
| | |
|------------------------|---|
| ● Satisfied | 4 |
| ● Room for improvement | 1 |
| ● Not satisfied | 0 |



34. **Communications with Council:** Committee reports on its own activities to Council are of sufficient regularity

[More Details](#)

| | |
|------------------------|---|
| ● Satisfied | 4 |
| ● Room for improvement | 1 |
| ● Not satisfied | 0 |



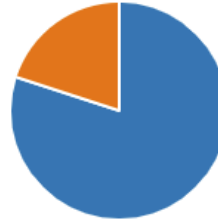
35. Any comments, suggestions, improvements on Communications with Council?

2 Responses

36. **Management Commitment & Support:** Information and briefing papers presented by management meet the Committee's expectations in respect of: Council's risk profile and mitigating actions for key risks

[More Details](#)

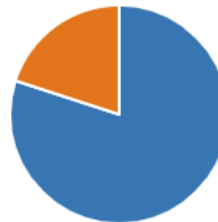
| | |
|------------------------|---|
| ● Satisfied | 4 |
| ● Room for improvement | 1 |
| ● Not satisfied | 0 |



37. **Management Commitment & Support:** Information and briefing papers presented by management meet the Committee's expectations in respect of: Maintenance of a strong internal control environment that is effective in mitigating key risks

[More Details](#)

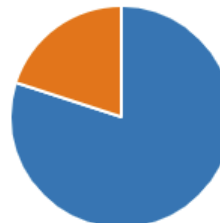
| | |
|------------------------|---|
| ● Satisfied | 4 |
| ● Room for improvement | 1 |
| ● Not satisfied | 0 |



38. **Management Commitment & Support:** Information and briefing papers presented by management meet the Committee's expectations in respect of: Management of Council's compliance and regulatory obligations

[More Details](#)

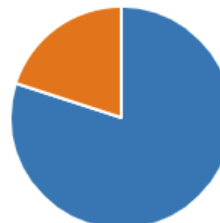
| | |
|------------------------|---|
| ● Satisfied | 4 |
| ● Room for improvement | 1 |
| ● Not satisfied | 0 |



39. **Management Commitment & Support:** Information and briefing papers presented by management meet the Committee's expectations in respect of: Council's external reporting requirements

[More Details](#)

| | |
|------------------------|---|
| ● Satisfied | 4 |
| ● Room for improvement | 1 |
| ● Not satisfied | 0 |



40. **Management Commitment & Support:** The Committee has a positive attitude to continuous improvement in its dealings with management

[More Details](#)

| | |
|------------------------|---|
| ● Satisfied | 5 |
| ● Room for improvement | 0 |
| ● Not satisfied | 0 |



41. Any comments, suggestions, improvements on Management Commitment & Support?

3 Responses

42. **Internal Audit:** The Committee reviewed and recommended improvements to the internal audit plan as required

[More Details](#)

| | |
|------------------------|---|
| ● Satisfied | 4 |
| ● Room for improvement | 1 |
| ● Not satisfied | 0 |



43. **Internal Audit:** The Committee considered the adequacy of internal audit resources

[More Details](#)

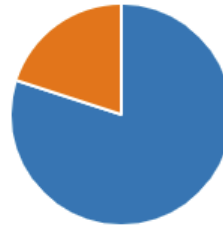
| | |
|------------------------|---|
| ● Satisfied | 4 |
| ● Room for improvement | 1 |
| ● Not satisfied | 0 |



44. **Internal Audit:** The Committee reviewed and recommended improvements for any significant changes to the internal audit plan

[More Details](#)

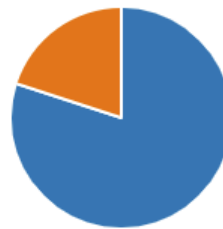
| | |
|------------------------|---|
| ● Satisfied | 4 |
| ● Room for improvement | 1 |
| ● Not satisfied | 0 |



45. **Internal Audit:** The Committee considered the performance of the internal audit function

[More Details](#)

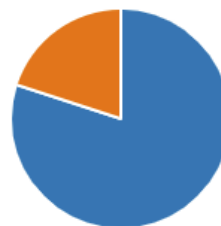
| | |
|------------------------|---|
| ● Satisfied | 4 |
| ● Room for improvement | 1 |
| ● Not satisfied | 0 |



46. **Internal Audit:** The Committee reviewed all internal audit reports and monitored management responses to recommendations

[More Details](#)

| | |
|------------------------|---|
| ● Satisfied | 4 |
| ● Room for improvement | 1 |
| ● Not satisfied | 0 |



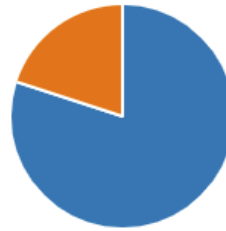
47. Any comments, suggestions, improvements on Internal Audit?

2 Responses

48. **External Audit:** The Committee reviewed and recommended improvements to the external audit plan as required.

[More Details](#)

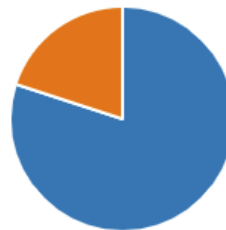
| | |
|------------------------|---|
| ● Satisfied | 4 |
| ● Room for improvement | 1 |
| ● Not satisfied | 0 |



49. **External Audit:** The Committee reviewed external audit reports and management letters and monitored management responses to findings and recommendations made by external audit

[More Details](#)

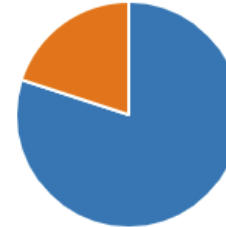
| | |
|------------------------|---|
| ● Satisfied | 4 |
| ● Room for improvement | 1 |
| ● Not satisfied | 0 |



50. **External Audit:** The Committee provided feedback on the performance of external audit

[More Details](#)

| | |
|------------------------|---|
| ● Satisfied | 4 |
| ● Room for improvement | 1 |
| ● Not satisfied | 0 |



51. Any comments, suggestions, improvements on External Audit?

1 Responses

52. End of survey: Any other comments, suggestions, improvements?

4 Responses